

## NMR Investigations into the *Vase-Kite* Conformational Switching of Resorcin[4]arene Cavitands

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Dedicated to Professor K. N. Houk at the occasion of his 60th birthday

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We report the detailed investigation of temperature- and pH-triggered conformational switching of resorcin[4]arene cavitands **1–10** (Figs. 1, 8, and 9). Depending on the experimental conditions, these macrocycles adopt a *vase* conformation, featuring a deep cavity for potential guest inclusion, or two *kite* conformations (*kite 1* and *kite 2*) with flat, extended surfaces (Schemes 1 and 2). The thermodynamic and kinetic parameters for the interconversion between these structures were determined by variable-temperature NMR (VT-NMR) spectroscopy (Figs. 2–7 and 10, and Tables 1 and 2). It was discovered that *vase* → *kite* switching of cavitands is strongly solvent-dependent: it is controlled not only by solvent polarity but also by solvent size. Conformational interconversions similar to those of the parent structure **1** with four quinoxaline flaps are also observed when the octol base skeleton is differentially or incompletely bridged. Only octanitro derivative **2** was found to exist exclusively in the *kite* conformation under all experimental conditions. The detailed insight into the *vase* ⇌ *kite* conformational equilibrium gained in this investigation provides the basis for the design and construction of new, dynamic resorcin[4]arene cavitands that are switchable between bistable states featuring strongly different structures and functions.

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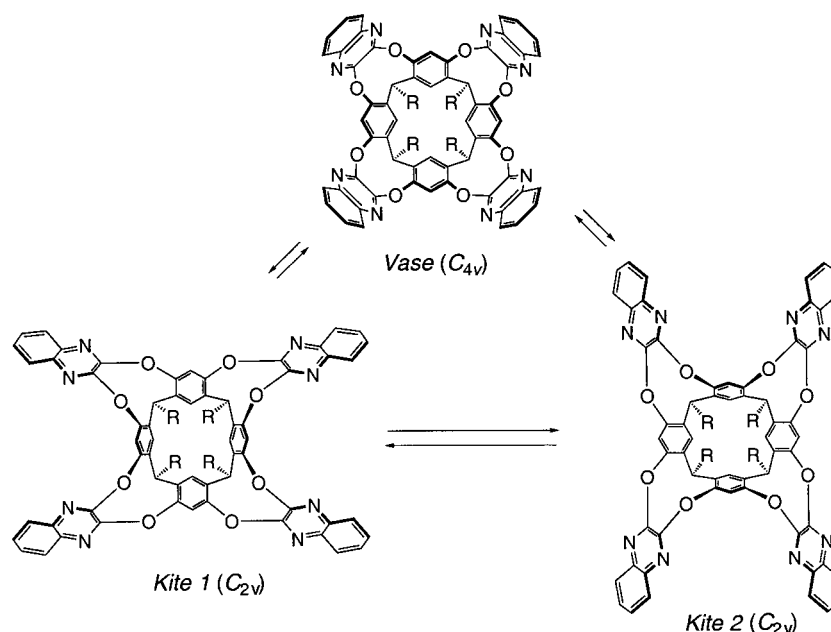
**1. Introduction.** – Resorcin[4]arene cavitands with four quinoxaline bridges were originally introduced and studied by Cram and co-workers [1]. A particularly interesting feature of these systems is their ability to switch between two states, a *vase* conformation with a deep cavity well suitable for guest inclusion [2] (for a review on molecular encapsulation, see [3]) and a *kite* conformation featuring a large flat surface. This *vase* ⇌ *kite* equilibrium is controllable by both temperature- [1] or pH-variation [4], and resembles the movement of a molecular gripper. According to Cram and co-workers, the temperature dependence of the *vase* ⇌ *kite* equilibrium is caused by solvation effects: at low temperatures, solvation of the larger, solvent-exposed surface favors the *kite* conformer, whereas, at higher temperature, the entropic term  $T\Delta S_{\text{solv}}$  for solvation of the larger *kite* surface becomes unfavorable, and the *vase* conformation predominates.

We are interested in the potential utilization of modified resorcin[4]arene cavitands as molecular grippers, capable of guest hosting and releasing on demand, in device construction at the single-molecule level. This objective requires a deeper mechanistic understanding of the *vase* ⇌ *kite* conformational equilibration. In this context, the discovery of pH-triggered *vase* → *kite* switching and the use of UV/VIS spectroscopy to monitor the interconversion had been reported earlier [4] (for a first scanning tunneling microscopy (STM) study, imaging the *vase* conformation at molecular

resolution, see [5]). Upon protonation, the cavitant changes from the *vase* into the *kite* conformation; such a change in molecular geometry can be attributed to protonation of the mildly basic quinoxaline N-atoms, resulting in electrostatic repulsion of the cationic cavitant walls in the *vase* form. The switching is reversed upon addition of base.

We have recently also reported the synthesis and solid-state structures of a series of novel, partially and asymmetrically bridged resorcin[4]arene cavitants, bearing dye labels and featuring a diversity of legs for surface immobilization [6]. Here, we present a detailed study of the temperature- and pH-triggered *vase*  $\rightarrow$  *kite* conformational switching of these systems (for a preliminary report on parts of this work, see [7]). By variable-temperature NMR (VT-NMR) spectroscopy, we discovered a new interconversion between two *kite* forms (*kite 1* and *kite 2*; Scheme 1) and estimated the thermodynamic ( $\Delta H$  and  $\Delta S$ ) and kinetic parameters ( $\Delta H^\ddagger$  and  $\Delta S^\ddagger$ ) for the equilibration between the three (*vase*, *kite 1*, and *kite 2*) conformational states. A solvent scan was performed to study the influence of the environment on the switching process.

Scheme 1. Interconversions between Vase, Kite 1, and Kite 2 Conformations of Resorcin[4]arene Cavitants with Four Quinoxaline Flaps



**2. Results and Discussion.** – 2.1. VT-NMR Studies of Vase  $\rightleftharpoons$  Kite Conformational Equilibria. The temperature- or acid-triggered *vase*  $\rightarrow$  *kite* switching of resorcin[4]arene cavitants manifests itself by a change in the chemical shift of the methine H-atom  $H^a$  (Fig. 1) from  $\delta \approx 5.5$  ppm in the *vase* to  $\delta \approx 3.7$  ppm in the *kite* conformation. More-detailed VT-NMR studies with protonated cavitant **1** in acidic  $CD_2Cl_2$  now led to the identification of a second, *kite 1*  $\rightleftharpoons$  *kite 2*, switching process (Scheme 1). This equili-

rium is fast on the NMR time scale at room temperature; thus, the  $^1\text{H}$ -NMR spectrum of the protonated cavitand at 298 K is dynamically averaged and indicates an apparent fourfold symmetry of the *kite* conformer (Fig. 2). Upon cooling to *ca.* 250 K, the number of signals doubles, and the spectrum becomes consistent with the presence of two slowly interconverting *kite* conformers with  $C_{2v}$  symmetry. The same change in number of resonances was also observed by cooling a solution of neutral cavitand **1** in  $\text{CD}_2\text{Cl}_2$  to 193 K, as a result of switching from the  $C_{4v}$ -symmetrical *vase* into two slowly exchanging  $C_{2v}$ -symmetrical *kite* forms (Fig. 2). These processes could also be monitored by  $^{13}\text{C}$ -NMR spectroscopy, with a doubling of the resonances seen at low temperature for both neutral and protonated **1** (Fig. 3).

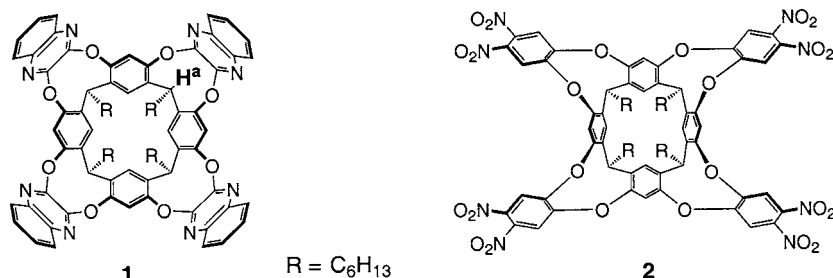


Fig. 1. Cavitand structures **1** and **2**

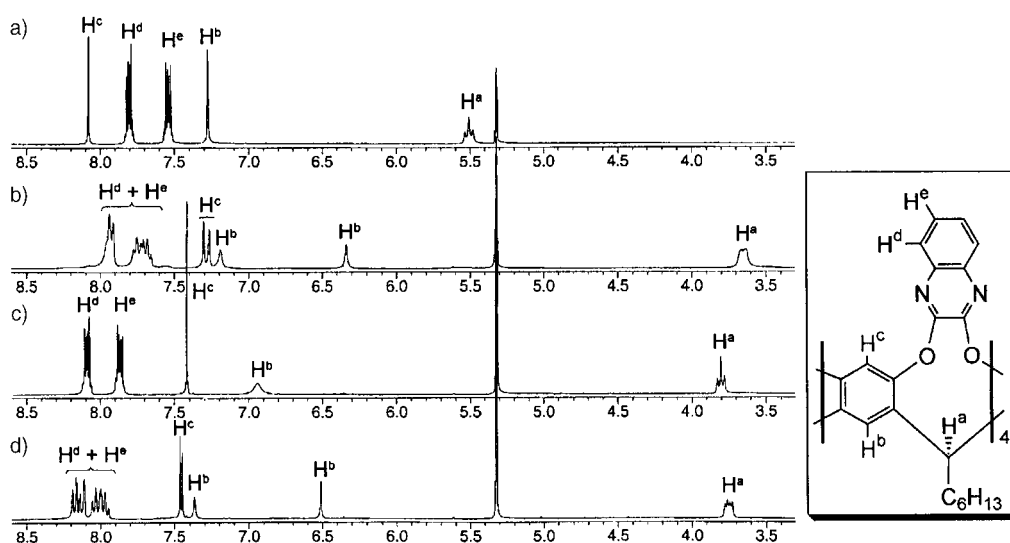


Fig. 2.  $^1\text{H}$ -NMR Spectra of **1** ( $c = 1 \times 10^{-2}$  M, 300 MHz,  $\text{CD}_2\text{Cl}_2$ ) at a)  $T = 295$  K; b)  $T = 193$  K; c)  $T = 308$  K, 0.5M TFA added; d)  $T = 243$  K, 0.5M TFA added. Only the aromatic region of the spectra is shown. TFA =  $\text{CF}_3\text{COOH}$ .

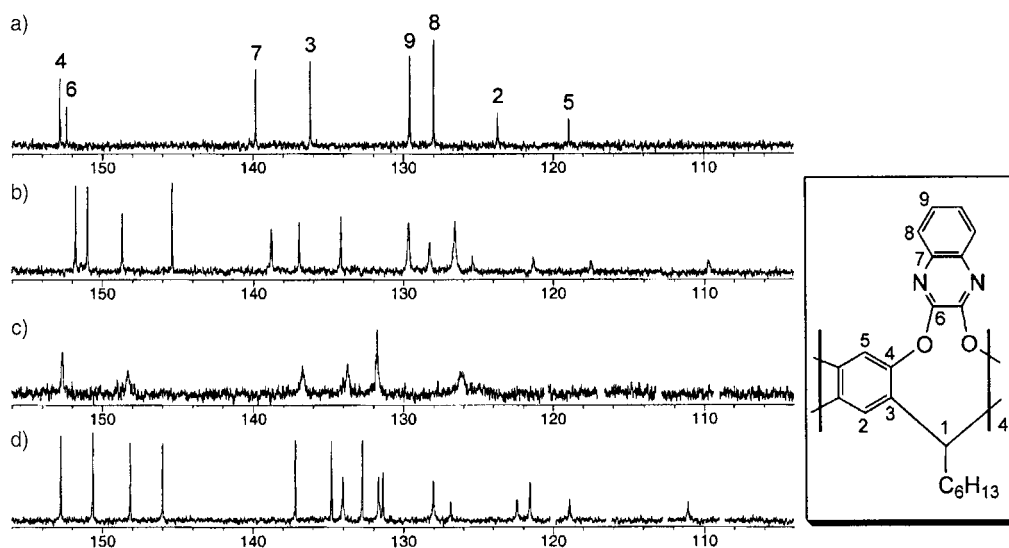
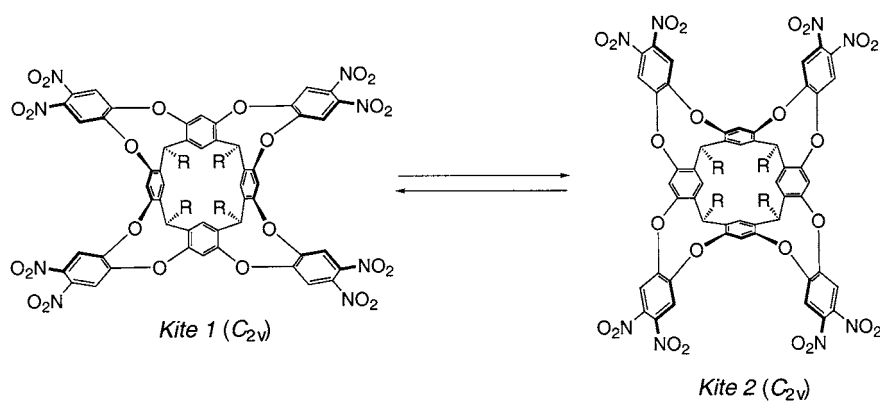


Fig. 3.  $^{13}\text{C}$ -NMR Spectra of **1** ( $c = 1 \times 10^{-2}$  M, 300 MHz,  $\text{CD}_2\text{Cl}_2$ ) at a)  $T = 295$  K; b)  $T = 193$  K; c)  $T = 308$  K, 0.5M TFA; d)  $T = 243$  K, 0.5M TFA. Only the aromatic region of the spectra is shown. TFA resonances are removed for clarity.

Recently, we showed by X-ray analysis that cavitand **2** (Fig. 1) prefers to adopt the *kite* conformation in the solid state [6]. VT-NMR Studies in  $\text{CDCl}_3$ ,  $(\text{CD}_3)_2\text{CO}$ , and  $(\text{D}_8)\text{THF}$  confirmed that this is also the exclusive conformation in solution. Upon cooling from 350 to 240 K, the number of resonances doubled (Fig. 4), in agreement with the *kite 1*  $\rightleftharpoons$  *kite 2* equilibrium becoming slow on the NMR time scale. In the investigated temperature range, the methine H-atom resonance remained nearly constant at a position (ca. 4.3 ppm) characteristic for the *kite* structure, indicating that no switching to the *vase* conformation occurred (Scheme 2).

Scheme 2. Conformational Kite 1  $\rightleftharpoons$  Kite 2 Equilibration of Octanitrocavitand **2**



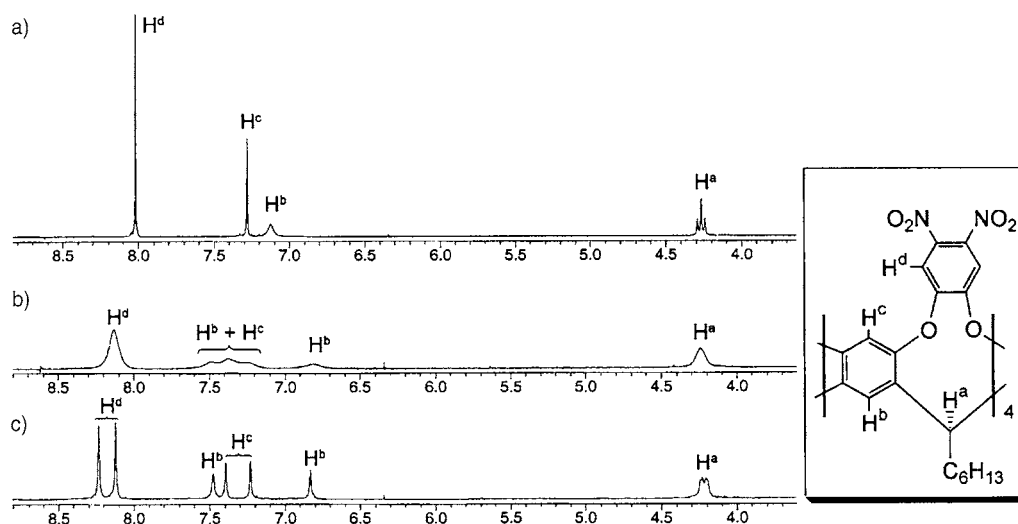


Fig. 4.  $^1\text{H}$ -NMR Spectra of **2** ( $c = 2 \times 10^{-3}$  M, 300 MHz,  $(\text{CD}_3)_2\text{CO}$ ) at a)  $T = 343$  K (fast exchange), b)  $T = 293$  K (near coalescence temperatures of  $\text{H}^b$ ,  $\text{H}^c$ , and  $\text{H}^d$ ), and c)  $T = 273$  K (slow exchange). Only the aromatic region of the spectra is shown.

By means of iterative lineshape calculations (see the *Exper. Part* for details), the activation parameters for the *kite 1*  $\rightleftharpoons$  *kite 2* equilibration of **2** in  $\text{CDCl}_3$  and  $(\text{CD}_3)_2\text{CO}$  were estimated by the method described by Sandström [8]. The resulting Eyring plot is shown in Fig. 5. In both solvents, the activation enthalpy  $\Delta H^\ddagger$  was *ca.* 10–11 kcal  $\cdot$  mol $^{-1}$  and the activation entropy  $\Delta S^\ddagger \approx -13$  cal  $\cdot$  mol $^{-1} \cdot$  K $^{-1}$  (Table 1).

Table 1. Thermodynamic and Kinetic Parameters for Different Switching Modes of Cavitands **1** and **2**

Cavitand	Conditions	Switching mode	$\Delta H$ [kcal $\cdot$ mol $^{-1}$ ]	$\Delta S$ [cal $\cdot$ mol $^{-1} \cdot$ K $^{-1}$ ]	$\Delta H^\ddagger$ [kcal $\cdot$ mol $^{-1}$ ]	$\Delta S^\ddagger$ [cal $\cdot$ mol $^{-1} \cdot$ K $^{-1}$ ]
<b>2</b>	$(\text{CD}_3)_2\text{CO}$	<i>kite 1</i> $\rightarrow$ <i>kite 2</i>	<sup>a)</sup>	<sup>a)</sup>	$10.1 \pm 1.5$	$-12.9 \pm 3$
<b>2</b>	$\text{CDCl}_3$	<i>kite 1</i> $\rightarrow$ <i>kite 2</i>	<sup>a)</sup>	<sup>a)</sup>	$10.9 \pm 1.5$	$-14.0 \pm 3$
<b>1</b>	$\text{CD}_2\text{Cl}_2$ , 0.75M TFA	<i>kite 1</i> $\rightarrow$ <i>kite 2</i>	<sup>a)</sup>	<sup>a)</sup>	$21.2 \pm 1.5$	$26.6 \pm 3$
<b>1</b>	$\text{CD}_2\text{Cl}_2$ , 0.43M TFA	<i>kite 1</i> $\rightarrow$ <i>kite 2</i>	<sup>a)</sup>	<sup>a)</sup>	$17.4 \pm 1.5$	$16.4 \pm 3$
<b>1</b>	$\text{CD}_2\text{Cl}_2$	<i>vase</i> $\rightarrow$ <i>kite</i>	$-5.9 \pm 0.5^{\text{b}}$	$-25.8 \pm 2^{\text{b}}$	$3.8 \pm 2$	$-33.2 \pm 4$
<b>1</b>	$\text{CD}_2\text{Cl}_2$	<i>kite</i> $\rightarrow$ <i>vase</i>	$5.9 \pm 0.5^{\text{b}}$	$25.8 \pm 2^{\text{b}}$	$9.7 \pm 2$	$-7.8 \pm 4$

<sup>a)</sup> Degenerate equilibrium. <sup>b)</sup> The values are averaged for three independent measurements.

The same method applied to the *kite 1*  $\rightarrow$  *kite 2* switching of **1** in acidic  $\text{CD}_2\text{Cl}_2$  (0.75M TFA) provided very different activation parameters (Fig. 5). Compared to **2**, the activation enthalpy (*ca.* 21 kcal  $\cdot$  mol $^{-1}$ ) is much higher, and a large positive activation entropy (*ca.* 27 cal  $\cdot$  mol $^{-1} \cdot$  K $^{-1}$ ) was calculated. This could indicate that partial or full deprotonation, which is a high-activation-enthalpy process, is required in

the transition state of the conformational switching of protonated **1**. A deprotonated transition state structure would be less solvated than the protonated ground-state *kite* structure, which would explain the favorable activation entropy.

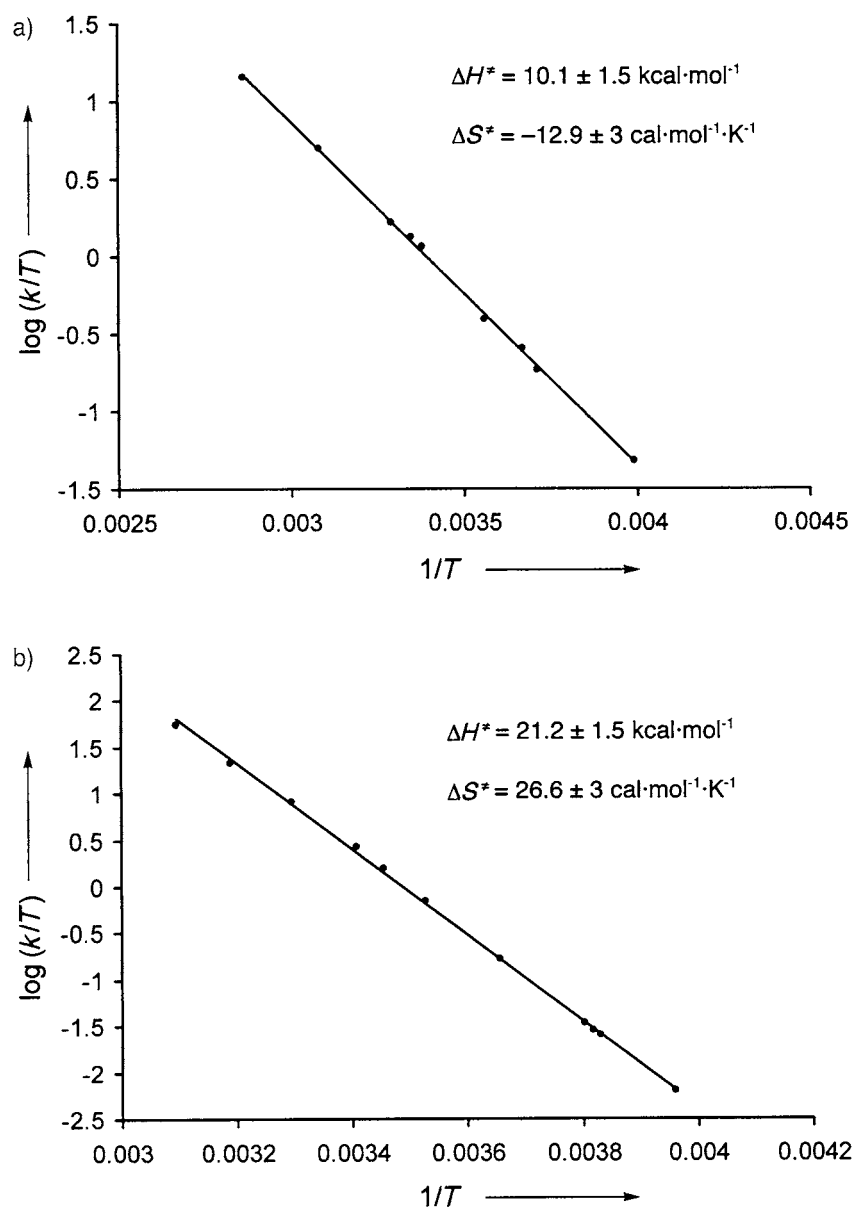


Fig. 5. Eyring plot and activation parameters from calculated rate constants for the kite 1  $\rightleftharpoons$  kite 2 equilibration  
a) of **2** in  $(\text{CD}_3)_2\text{CO}$  and b) of protonated **1** ( $1 \times 10^{-2}\text{M}$ ) in  $\text{CD}_2\text{Cl}_2$  containing 0.75M TFA

In support of this explanation, the *kite 1*  $\rightarrow$  *kite 2* switching occurred at a faster rate at lower acid concentration, when the cavitand may not be fully protonated. For example, coalescence for the H-atoms H<sup>b</sup> occurred at  $280 \pm 1$  K at 0.43M TFA and at  $290 \pm 1$  K at 0.75M TFA. Lineshape fitting showed that the activation enthalpy decreased by *ca.* 3 kcal·mol<sup>-1</sup>, whereas entropy decreased by *ca.* 10 cal·mol<sup>-1</sup>·K<sup>-1</sup> upon lowering the acid concentration (Table 1). Environmental polarity effects could, of course, also contribute to these changes in kinetics with changing TFA concentration; however, we have previously excluded such effects, at least for the *vase*  $\rightleftharpoons$  *kite* equilibration [4]. Lineshape fitting of VT-NMR spectra recorded at TFA concentrations of 0.2M or less did not yield interpretable results, likely due to the presence of small amounts of *vase* or partial-*vase* structures in the solution.

To simulate the *vase*  $\rightleftharpoons$  *kite* interconversion of neutral **1** by NMR lineshape fitting, it was necessary to determine the *vase*-to-*kite* ratios at different temperatures, *i.e.*, to know the equilibration enthalpy and entropy. The coalescence temperature for the *vase*  $\rightarrow$  *kite* transition was determined to be  $245 \pm 1.5$  K; below this temperature, individual methine peaks corresponding to the *vase* and *kite* conformers could be observed and integrated. To obtain reliable results, relatively concentrated samples of **1** ( $c = 1 \times 10^{-2}$  M) were used, and 128–256 acquisitions were performed before Fourier transformation. Determination of the methine peak ratio at several temperatures in the 203–233 K range, followed by *Van't Hoff* analysis (Fig. 6), allowed us to calculate  $\Delta H$  and  $\Delta S$  for the *vase*  $\rightarrow$  *kite* transition to be *ca.* -6 kcal·mol<sup>-1</sup> and -26 cal·mol<sup>-1</sup>·K<sup>-1</sup>, respectively. Thus, *vase*  $\rightarrow$  *kite* switching at low temperatures is an enthalpy-driven process, attributed to better solvation of the extended *kite* surface, as predicted earlier by Cram and co-workers [1].

The activation parameters were subsequently determined by iterative lineshape fitting of the NMR spectra, and the corresponding *Eyring* plot is shown in Fig. 6. Activation enthalpy and entropy were found to be *ca.* 4 kcal·mol<sup>-1</sup> and -33 cal·mol<sup>-1</sup>·K<sup>-1</sup>, respectively, for the *vase*  $\rightarrow$  *kite* transition of **1**, and *ca.* 10 kcal·mol<sup>-1</sup> and -8 cal·mol<sup>-1</sup>·K<sup>-1</sup> for the *kite*  $\rightarrow$  *vase* transition, respectively.

The similarity of the activation parameters for the *kite 1*  $\rightarrow$  *kite 2* switching of **2** ( $\Delta H^\ddagger = 10.1 \pm 1.5$  kcal·mol<sup>-1</sup>,  $\Delta S^\ddagger = -12.9 \pm 3$  cal·mol<sup>-1</sup>·K<sup>-1</sup> in (CD<sub>3</sub>)<sub>2</sub>CO) and the *kite*  $\rightarrow$  *vase* transition of neutral **1** ( $\Delta H^\ddagger = 9.7 \pm 2$  kcal·mol<sup>-1</sup>,  $\Delta S^\ddagger = -7.8 \pm 4$  cal·mol<sup>-1</sup>·K<sup>-1</sup> in CD<sub>2</sub>Cl<sub>2</sub>) is quite remarkable (Table 1). We interpret this as a strong indication that both processes proceed through similar, presumably partial-*vase*-like transition states. We hope to shed further light onto these switching pathways by spectroscopy on single molecules as well as by molecular-dynamics calculations.

**2.2. Solvent Dependence of the Vase  $\rightarrow$  Kite Switching Process.** Since the temperature-triggered switching process is apparently driven by differential solvation of the quinoxaline flaps in the *vase* and *kite* conformation, we expected a significant influence of the solvent on the equilibration. Similarly, solvation effects should also influence the equilibration between neutral *vase* and protonated *kite* structures. To explore such effects by <sup>1</sup>H-NMR spectroscopy, we used a  $5 \times 10^{-3}$  M solution of cavitand **1** in various deuterated solvents. Temperature- and acid-triggered switching was readily observed in the apolar, non-aromatic solvents CDCl<sub>3</sub>, CDCl<sub>3</sub>/CS<sub>2</sub> 1:1, CD<sub>2</sub>Cl<sub>2</sub>, C<sub>2</sub>D<sub>2</sub>Cl<sub>4</sub>, and CCl<sub>4</sub> (Fig. 7), with the acid-induced *vase*  $\rightarrow$  *kite* switching being reversed upon addition of base (K<sub>2</sub>CO<sub>3</sub>). On the other hand, in C<sub>6</sub>D<sub>5</sub>CD<sub>3</sub>, the neutral cavitand was frozen in the

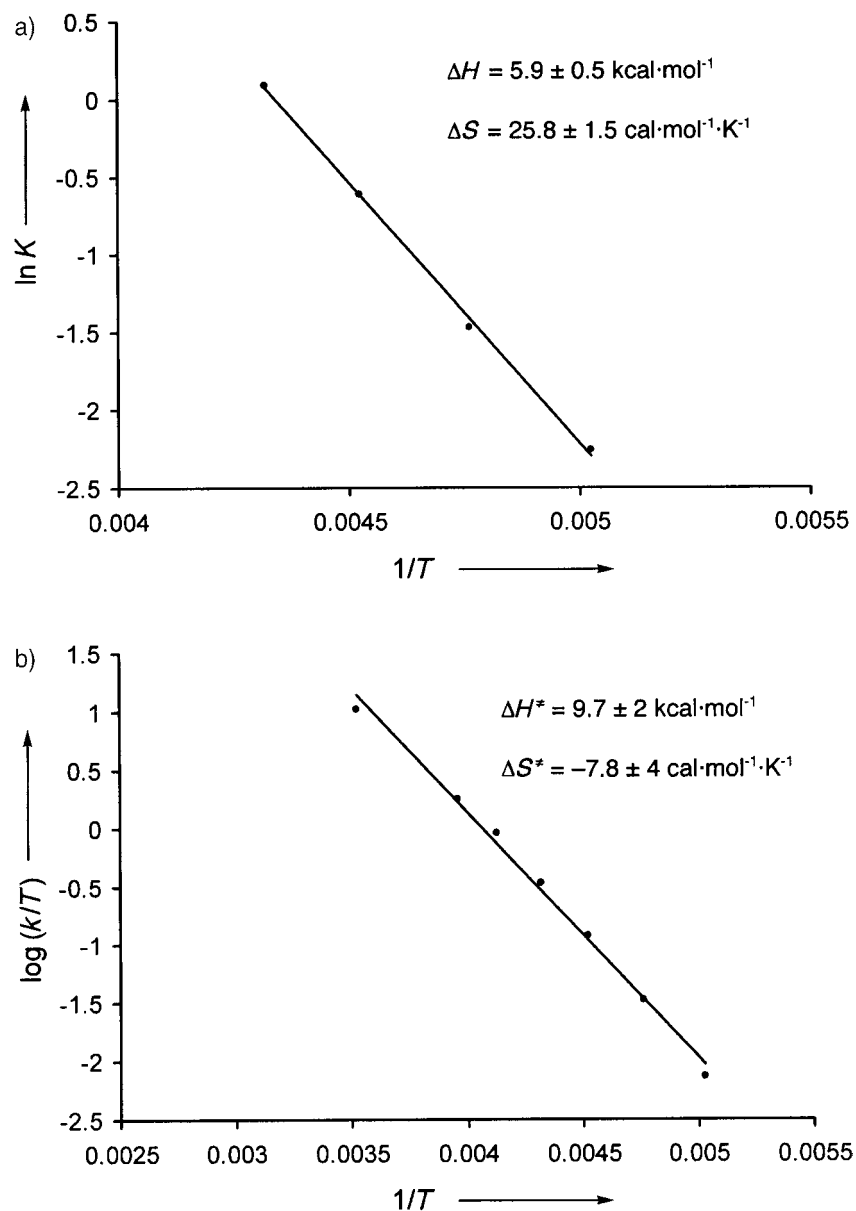


Fig. 6. a) Van't Hoff plot and thermodynamic parameters for the kite  $\rightarrow$  vase switching and b) Eyring plot and activation parameters from calculated rate constants for the kite  $\rightarrow$  vase switching of neutral **1** ( $1 \times 10^{-2}$  M) in  $\text{CD}_2\text{Cl}_2$

vase conformation over the entire temperature range between 313 and 193 K. However, addition of large quantities of  $\text{CF}_3\text{COOH}$  (TFA), ten times larger than required for complete switching in  $\text{CD}_2\text{Cl}_2$  (Fig. 7), also induced the vase  $\rightarrow$  kite



transition in the aromatic solvent. Similar results were obtained in deuterated benzene and fluorobenzene.

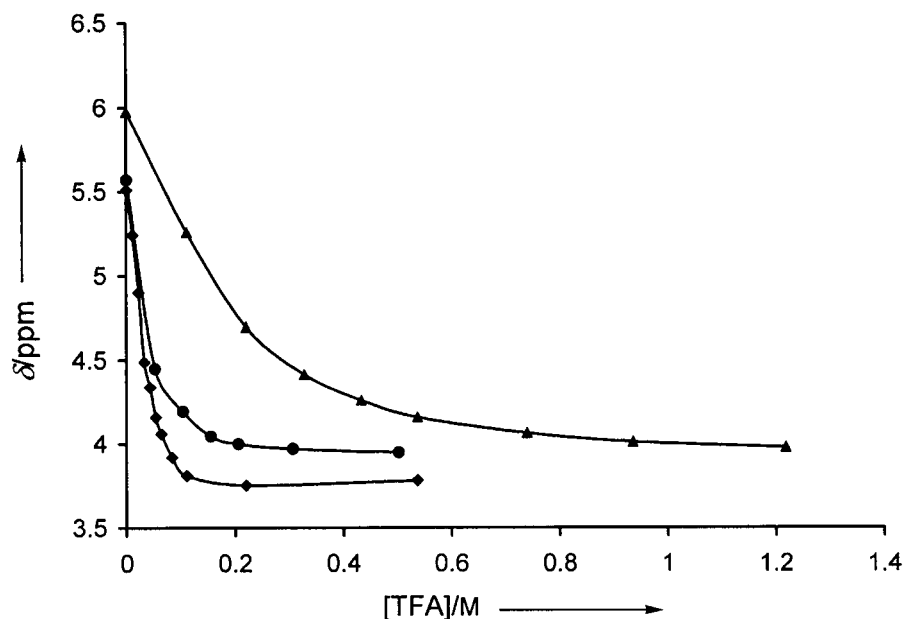


Fig. 7. Change in chemical shift of the methine H-atom resonance of **1** upon addition of TFA to a solution of the cavitand in  $CD_2Cl_2$  (◆, similar in  $CDCl_3$ ), in  $C_6D_5CD_3$  (▲, similar in  $C_6D_6$  and  $C_6D_5F$ ), and in  $(D_{12})$ -mesitylene (●).  $T = 295$  K, lines are eye guides.

Deuterated mesitylene ( $C_6D_3(CD_3)_3$ ) appears to be a special case. Switching of **1** from the *vase* to the *kite* structure in this specific solvent required much smaller amounts of TFA than the equilibration in the other tested aromatic solvents. Similar to the experiment in  $CD_2Cl_2$ , complete *vase*  $\rightarrow$  *kite* conversion was achieved at  $< 0.2$  M TFA (Fig. 7). In the absence of acid, all resonances remained broadened at 295 K, displaying resolved peaks corresponding to *vase* conformer at only *ca.* 370 K. Upon cooling to 253 K, the spectrum featured a pattern typical for the *kite* conformation, although peaks were broadened due to the high viscosity of mesitylene and, probably, to slowed but still occurring conformational interconversion. Addition of 2% (v/v) of deuterated benzene to the mesitylene solution at 295 K led to a resolved spectrum of the cavitand in the *vase* form, similar to that recorded in deuterated toluene or benzene. Upon standing, **1** slowly precipitated from mesitylene solution as a fine powder.

All these data imply that the *vase*  $\rightleftharpoons$  *kite* equilibrium is dependent not only on solvent polarity but also on solvent size [9]. Toluene, benzene, and fluorobenzene can nicely solvate the inner space of the *vase* conformation, thereby stabilizing this structure. On the other hand, mesitylene is too big to fit into the cavity, and hence only solvates efficiently the *kite* geometry. This in return facilitates the *vase*  $\rightarrow$  *kite* conversion. These explanations are in agreement with the complexation ability of supramolecular capsules formed by resorcinarene cavitands as studied by Rebek and co-workers [3][10]. The width of the inner guest space in these capsules is similar to

that in the cavity of **1**. Correspondingly, benzene and toluene are well-encapsulated, whereas mesitylene is too large for complexation.

Finally, in the polar solvents ( $D_8$ )THF, ( $D_8$ )dioxane, and ( $D_6$ )acetone, no spectral changes upon temperature variation or TFA addition were observed, and the *vase* structure is clearly the preferred one. On the other hand, addition of  $CF_3SO_3H$ , a much stronger acid, to a solution of **1** in dioxane induces switching to the *kite* conformation, although this process is not reversible upon addition of base.

**2.3. Switching of Modified Cavitands.** The fully bridged cavitands **3–5** (Fig. 8) [6] all underwent both temperature- and pH-triggered conformational switching, although they show a higher preference for the *kite* conformation than the parent structure **1**. In variable-temperature runs, complete conversion of monosubstituted **3a** and **3b** into the *vase* form (all *multiplets* in the  $^1H$ -NMR spectrum are resolved) occurred at 308 K in  $CD_2Cl_2$ , whereas the equilibration of disubstituted **4a** and **5a** was only completed at 333 K in  $CDCl_3/CS_2$  (Table 2) or even at 363 K in  $CD_2Cl_2$  (sealed tube). All structures **3–5** switched into the *kite* geometry at *ca.* 210 K; at that temperature, the NMR spectra displayed the presence of less than 5% of residual *vase* conformer. The higher preference for the *kite* conformation with increasing rim substitution (**1** < **3a,3b** < **4a,5a**) can be explained by both steric repulsion between the attached bulky groups and the favorable solvation of the enlarged surface in the open geometry. Cavitands **3–5** completely switched to the *kite* geometry upon addition of TFA; this process was reversible upon addition of base ( $K_2CO_3$ ). The activation parameters for the *kite*  $1 \rightleftharpoons$  *kite* 2 interconversion of **3b** were estimated to be similar to those of the parent cavitand **1**.

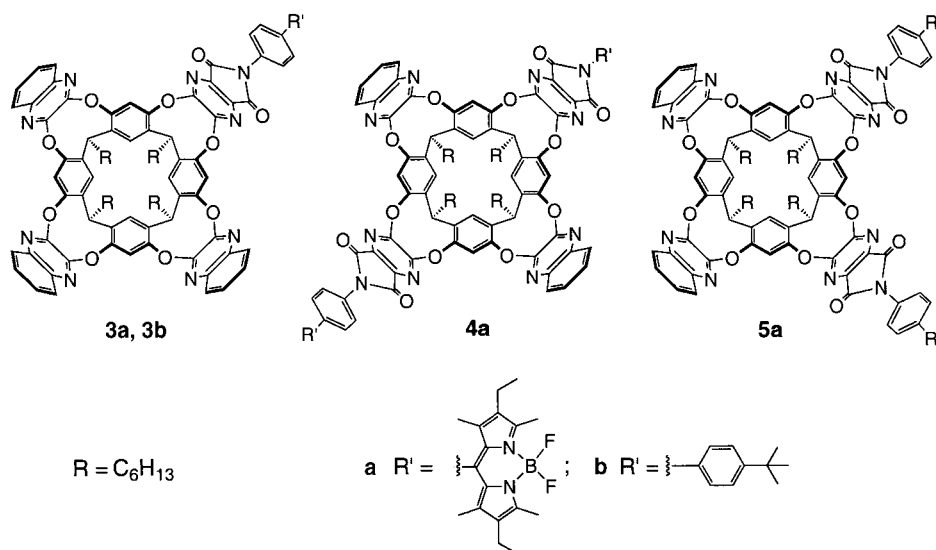


Fig. 8. Differentially bridged cavitands

Table 2.  $^1\text{H}$ -NMR Investigation of the Temperature- or pH-Triggered Vase–Kite Isomerization, Monitoring the Methine Resonance of the Octol Bowl

Cavitand	Solvent	$\delta_{\text{H}}$ [ppm]/T [K] <sup>a)</sup>	$\delta_{\text{H}}$ [ppm]/T [K] <sup>b)</sup>	$\delta_{\text{H}}$ [ppm] at 0.5M TFA, 295 K <sup>b)</sup>
<b>1</b>	$\text{CD}_2\text{Cl}_2$	5.51/293	3.65/203	3.76
<b>3a</b>	$\text{CD}_2\text{Cl}_2$	5.52 (3 H), 5.40/308	3.65/193	3.80
<b>3b</b>	$\text{CD}_2\text{Cl}_2$	5.48 (3 H), 5.35/308	3.67/193	3.80
<b>4a</b>	$\text{CDCl}_3/\text{CS}_2$ 1:1	5.56 (2 H), 5.37 (2 H)/333	3.71/193	3.89 (2 H), 3.83 (2 H)
<b>5a</b>	$\text{CDCl}_3/\text{CS}_2$ 1:1	5.56 (2 H), 5.35 (2 H)/333	3.71/193	3.97 (2 H), 3.91 (2 H)
<b>6</b>	$\text{CD}_2\text{Cl}_2$	5.61, 5.51 (2 H), 4.29/308	3.65 (3 H), 4.40/193	4.37, 3.96 (2 H), 3.85
<b>7</b>	$\text{CD}_2\text{Cl}_2$	5.39 (2 H), 4.36 (2 H)/293	–	4.43 (2 H), 3.74 (2 H)
<b>8</b>	$\text{CD}_2\text{Cl}_2$	5.52 (2 H), 4.22 (2 H)/293	–	4.35 (2 H), 3.79 (2 H)

<sup>a)</sup> Corresponds to predominant *vase* conformation. <sup>b)</sup> Corresponds to predominant *kite* conformation. <sup>c)</sup> Multiplet is not resolved.

Remarkably, even the partially bridged resorcin[4]arenes **6**, **7**, and **8** (Fig. 9) also underwent switching (Table 2). For diol **6**, low-temperature experiments revealed that the switching was not complete, *i.e.*, both *kite* and *vase* conformers were present in a *ca.* 1:1 ratio at 193 K ( $\text{CD}_2\text{Cl}_2$ ). On the other hand, complete switching from *vase* to *kite* occurred upon addition of TFA, and the *kite* 1  $\rightleftharpoons$  *kite* 2 interconversion was observed as well. For tetraols **7** and **8**, low-temperature scans could not be performed since they started to precipitate from the solution below 273 K; addition of TFA at room temperature, on the other hand, led to complete transition from *vase* to *kite*.

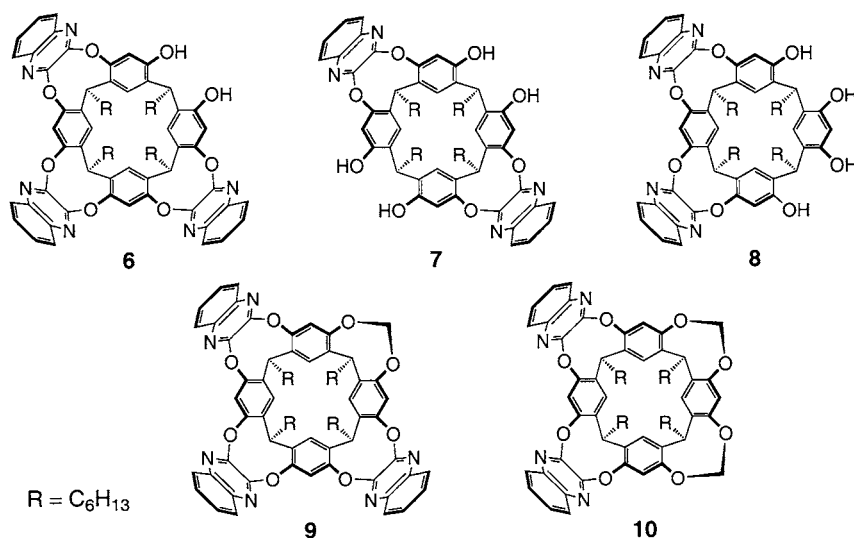


Fig. 9. Partially bridged cavitands and cavitands with short aliphatic bridges

Cavitands **9** and **10** were found in the *vase* conformation over the entire temperature range from 313 to 193 K in  $\text{CD}_2\text{Cl}_2$ . Addition of TFA at 295 K also did not induce switching to *kite*. Nevertheless, upon cooling the acidic solution to 243 K, the

methine H-atom peaks of both compounds shifted upfield by *ca.* 0.8 ppm, implying opening of the quinoxaline flaps to some extent.

**3. Conclusions.** – This detailed NMR investigation on a series of eleven resorcin[4]arene cavitands has provided many new insights into the conformational switching processes that are highly characteristic for this class of macrocycles. In addition to the previously reported temperature- or pH-triggered *vase*  $\rightleftharpoons$  *kite* conformational equilibrium, a new equilibration process between two degenerate *kite* conformers was discovered. This process resembles equilibrations observed at much higher temperature for velcands, bridged resorcin[4]arenes that exist only in the *kite* geometry [1c,d]. Among the macrocycles **1–10** addressed in this study, only octanitro derivative **2** is found exclusively in the *kite* form. The prediction by Cram and co-workers that *vase*  $\rightarrow$  *kite* switching at low temperatures is an enthalpy-of-solvation-driven process, whereas the entropic term favors the reverse process at elevated temperature, was confirmed by *Van't Hoff* analysis. We take the similarity of the activation parameters,  $\Delta H^\ddagger$  and  $\Delta S^\ddagger$ , obtained by NMR lineshape fitting, followed by *Eyring* plot analysis, for the degenerate *kite 1*  $\rightarrow$  *kite 2* switching and the *kite*  $\rightarrow$  *vase* transition as a strong indication that both processes proceed through similar, presumably partial-*vase*-like transition states, and we hope to further support this hypothesis in future molecular-dynamics simulations. A solvent scan revealed that switching is dependent not only on solvent polarity but also on solvent size. *Vase*  $\rightarrow$  *kite* switching is best performed in chlorinated solvents such as  $\text{CHCl}_3$ ,  $\text{CH}_2\text{Cl}_2$ , or  $\text{Cl}_2\text{CHCHCl}_2$ . This process becomes more difficult in aromatic solvents, such as benzene or toluene, that fit well into the cavity of the *vase* form and stabilize this geometry. Correspondingly, a larger aromatic solvent such as mesitylene facilitates the *vase*  $\rightarrow$  *kite* transition since it is too bulky for occupying the *vase* cavity. Remarkably, *vase*  $\rightarrow$  *kite* switching occurs even with partially bridged cavitands, with the protonation-triggered process generally being facilitated over the one induced by decreasing the solution temperature. The results of this investigation pave the way to the successful design of new types of dynamic molecular receptors that are switchable between bistable states, and greatly benefit our ongoing development of molecular grippers for use in construction at the single-molecule level.

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### Experimental Part

*Determination of Thermodynamic and Kinetic Parameters for Conformational Switching, and Solvent Scan by NMR Spectroscopy.*  $^1\text{H}$ - and  $^{13}\text{C}$ -NMR spectra were recorded with Varian Mercury-300 and Bruker AMX-500 spectrometers. Deuterated solvents were used as internal references:  $\text{CDCl}_3$  (7.26 ppm for  $^1\text{H}$ , 77.23 ppm for  $^{13}\text{C}$ ),  $\text{CD}_2\text{Cl}_2$  (5.32 ppm for  $^1\text{H}$ , 53.80 ppm for  $^{13}\text{C}$ ),  $\text{C}_2\text{D}_2\text{Cl}_4$  (5.91 ppm for  $^1\text{H}$ ),  $\text{C}_6\text{D}_6$  (7.15 ppm for  $^1\text{H}$ ),  $\text{C}_6\text{D}_5\text{CD}_3$  (6.98 ppm for  $^1\text{H}$ ),  $\text{C}_6\text{D}_5\text{F}$  (7.15 ppm for  $^1\text{H}$ ),  $(\text{D}_{12})$ mesitylene (6.80 ppm for  $^1\text{H}$ ),  $(\text{CD}_3)_2\text{CO}$  (2.05 ppm for  $^1\text{H}$ ),  $(\text{D}_8)\text{THF}$  (1.73 ppm for  $^1\text{H}$ ),  $(\text{D}_8)$ dioxane (3.53 ppm for  $^1\text{H}$ ). TMS was used as an internal reference in  $\text{CCl}_4$ . Low-temperature NMR was performed on a Varian Mercury-300 spectrometer. The temp. was calibrated with 100% MeOH ( $T \leq 313$  K) or  $\text{HOCH}_2\text{CH}_2\text{OH}$  ( $T \geq 313$  K) reference samples. Temp. regulation was stable within  $\pm 0.5^\circ$  between 363 and 243 K, and within  $\pm 1.5^\circ$  at lower temp. Peak assignments in the  $^{13}\text{C}$ -NMR

spectra and of the aromatic H-atoms of **1** (Fig. 2) were accomplished on the basis of HMBC and HSQC experiments.

Fitting of the NMR spectra was performed with the *gNMR v3.6 for Macintosh* program (Cherwell Scientific Publishing, Ltd., Oxford, UK). For the lineshape fitting of the *kite 1*  $\rightleftharpoons$  *kite 2* equilibrium of cavitands **1** and **2**, the H-atoms H<sup>b</sup> and H<sup>c</sup> (Figs. 2 and 4) were included in a two-site exchange model. For the lineshape fitting of the *vase*  $\rightleftharpoons$  *kite* equilibrium of **1**, H<sup>a</sup> and two H-atoms of neighboring CH<sub>2</sub> groups (equivalent in the *vase* and non-equivalent in the *kite* conformation) were included into the two-site exchange model. An example of experimental and fitted spectra in the VT-NMR series is shown in Fig. 10.

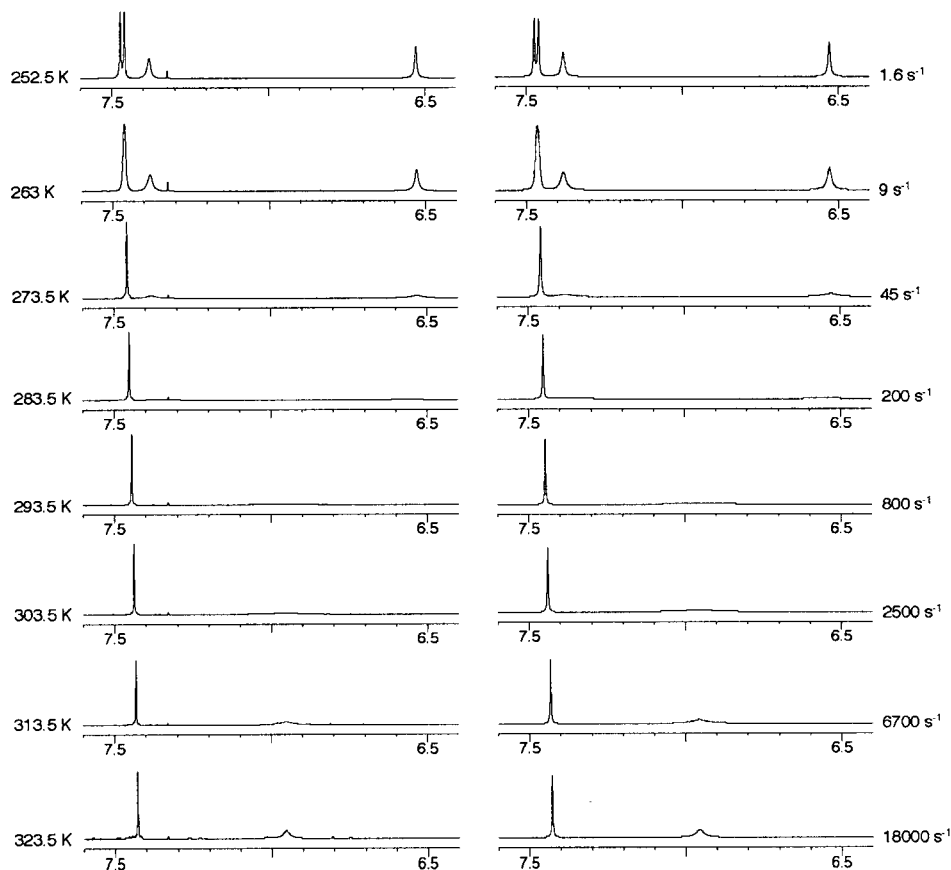


Fig. 10. Comparison of experimental <sup>1</sup>H-NMR spectra (left, 300 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 0.75M TFA) to fitted ones (right) of cavitand **1** at different temperatures. The protons H<sup>b</sup> and H<sup>c</sup> were included in the model.

Determination of activation enthalpy and entropy was based on Eqn. 1 [8]:

$$\log(k/T) = -\Delta H^\ddagger/aT + \Delta S^\ddagger/a + 10.319 \quad (1)$$

where  $k$  [Hz] is an exchange constant obtained from spectral fitting,  $T$  the temp. in K, and  $a = 1.914 \cdot 10^{-2}$  for  $\Delta H^\ddagger$  in kcal  $\cdot$  mol<sup>-1</sup> and  $\Delta S^\ddagger/a$  in kcal  $\cdot$  mol<sup>-1</sup>  $\cdot$  K<sup>-1</sup>. According to Eqn. 1, a plot of  $\log(k/T)$  vs.  $1/T$  (Eyring plot) has a slope of  $(-\Delta H^\ddagger/a)$  and an intercept at  $1/T=0$  of  $(\Delta S^\ddagger/a + 10.319)$ . Eqn. 2 was used to calculate the free energy of activation at the temp. of coalescence of an equally populated two-site systems [8]:

$$\Delta G_c^\ddagger = aT[9.972 + \log(T/\delta\nu)] \quad (2)$$

where  $T$  is the temperature in K,  $\delta\nu$  [Hz] the chemical shift between two coalescing resonances in the absence of exchange, and  $a = 1.914 \cdot 10^{-2}$  for  $G_c^\ddagger$  in kcal · mol<sup>-1</sup>.

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